

PHOTON CAPITAL ADVISORS LIMITED

(CIN: L65910TG1983PLC004368)

Regd. Office: Plot. No.90-A, Road No.9, Jubilee Hills, Hyderabad - 500 033

Tel/Fax No: 040-40062950, Website: <http://www.pcalindia.com//>

Email Id - sreedhar@photoncapitalgroup.com

Date: 07.10.2014

To
The Manager,
DCS - CRD,
Bombay Stock Exchange Ltd,
Phiroze Jeejeebhoy Towers,
25th Floor, Dalal Street,
Mumbai - 400 001.

Dear Sir/Madam,

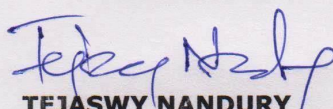
Sub: Shareholding Pattern for the quarter ending 30.09.2014 under Clause 35 of the Listing Agreement.

We enclose herewith Shareholding pattern for the quarter ending 30.09.2014 pursuant to Clause 35 of the Listing Agreement.

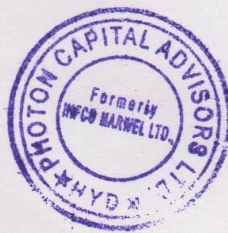
Thanking you,

Yours faithfully

For PHOTON CAPITAL ADVISORS LIMITED


TEJASWY NANDURY
WHOLE TIME DIRECTOR

Encl: As above



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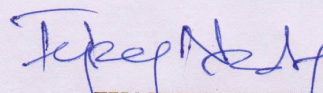
Email Id - sreedhar@photoncapitalgroup.com

QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE

Name of the Company : PHOTON CAPITAL ADVISORS LIMITED
Quarter ending on : 30.09.2014

Particulars	Clause of Listing agreement	Compliance Status Yes/No/N.A	Remarks
I. Board of Directors	49 I		
(A) Composition of Board	49(IA)	Yes	--
(B) Non-executive Directors' compensation & disclosures	49 (IB)	N.A	No remuneration to the non executive directors
(C) Other provisions as to Board and Committees	49 (IC)	Yes	--
D) Code of Conduct	49 (ID)	Yes	--
II. Audit Committee	49 (II)	Yes	--
(A) Qualified & Independent Audit Committee	49 (IIA)	Yes	--
(B) Meeting of Audit Committee	49 (IIB)	Yes	--
(C) Powers of Audit Committee	49 (IIC)	Yes	--
(D) Role of Audit Committee	49 (IID)	Yes	--
(E) Review of Information by Audit Committee	49 (IIE)	Yes	--
III. Subsidiary Companies	49 (III)	Yes	--
IV. Disclosures	49 (IV)	Yes	--
(A) Basis of related party transactions	49 (IV A)	Yes	--
(B) Disclosure of Accounting Treatment	49 (IV B)	Yes	--
(C) Board Disclosures	49 (IV C)	Yes	--
(D) Proceeds from public issues, rights issues, preferential issues etc.	49 (IV D)	N.A	There are no public issues, rights issues and preferential issues during the quarter.
(E) Remuneration of Directors	49 (IV E)	N.A	No remuneration to Directors
(F) Management	49 (IV F)	Yes	--
(G) Shareholders	49 (IV G)	Yes	--
V. CEO/CFO Certification	49 (V)	Yes	--
VI. Report on Corporate Governance	49 (VI)	Yes	--
VII. Compliance	49 (VII)	Yes	--

For PHOTON CAPITAL ADVISORS LIMITED


TEJASWY NANDURY
WHOLE TIME DIRECTOR

Place: Hyderabad
Date: 07.10.2014



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Date:07.10.2014

To
The Manager
DCS-CRD
Bombay Stock Exchange Limited
25th Floor, Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Dear Sir/Madam,

**SUB.: COMPLIANCE REPORT ON CORPORATE GOVERNANCE FOR THE QUARTER
ENDING 30.09.2014**

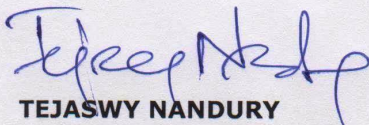
Please find enclosed herewith a compliance Report on Corporate Governance for the quarter ending 30.09.2014 pursuant to Clause 49 of the Listing Agreement.

This is for your information and records.

Thanking you,

Yours truly,

For PHOTON CAPITAL ADVISORS LIMITED


TEJASWY NANDURY
WHOLE TIME DIRECTOR



(1)(a) STATEMENT SHOWING SHAREHOLDING PATTERN IN CLAUSE-35				
NAME OF THE COMPANY: PHOTON CAPITAL ADVISORS LIMITED				
SCRIP CODE:	509084	Name of the Scrip:		
Class of Security:				
QUARTER ENDED:	30/09/2014			
Partly paid-up shares		As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company.	
Held by promoter/promoter group	0			0
Held by Public	0			0
Total:	0			0
Outstanding convertible securities:		As a % of total no. of outstanding convertible securities.	As a % of total no. of shares of the Company assuming full conversion of the convertible securities	
Held by promoter/promoter group	0			0
Held by Public	0			0
Total:	0			0
Warrants:		As a % of total no. of warrants	As a % of total no. of shares of the Company, assuming full conversion of warrants	
Held by promoter/promoter group	0			0
Held by Public	0			0
Total:	0			0
Total paid-up capital of the Company, assuming full conversion of warrants and convertible securities	1513694			100.00



Tejraj Ashly

CATEGORY CODE	CATEGORY OF SHAREHOLDER	NO OF SHAREHOLDERS	TOTAL NUMBER OF SHARES	NO OF SHARES HELD IN DEMATERIALIZED FORM	TOTAL SHAREHOLDING AS A % OF TOTAL NO OF SHARES		SHARES PLEDGE OR OTHERWISE ENCUMBERED	
					AS a PERCENTAGE of (A+B)	As a PERCENTAGE of (A+B+C)	NUMBER OF SHARES	AS a PERCENTAGE (IX)=(VIII)/(IV)*100
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	
(A)	PROMOTER AND PROMOTER GROUP							
(1)	INDIAN							
(a)	Individual /HUF	3	984958	984958	65.07	65.07	0	0.00
(b)	Central Government/State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	4	148631	148631	9.82	9.82	0	0.00
(d)	Financial Institutions / Banks	0	0	0	0.00	0.00	0	0.00
(e)	Others	0	0	0	0.00	0.00	0	0.00
	Sub-Total A(1) :	7	1133589	1133589	74.89	74.89	0	0.00
(2)	FOREIGN							
(a)	Individuals (NRIs/Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(c)	Institutions	0	0	0	0.00	0.00	0	0.00
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(e)	Others	0	0	0	0.00	0.00	0	0.00
	Sub-Total A(2) :	0	0	0	0.00	0.00	0	0.00
	Total A=A(1)+A(2)	7	1133589	1133589	74.89	74.89	0	0.00
(B)	PUBLIC SHAREHOLDING							
(1)	INSTITUTIONS							
(a)	Mutual Funds /UTI	0	0	0	0.00	0.00		
(b)	Financial Institutions /Banks	0	0	0	0.00	0.00		
(c)	Central Government / State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	0	0	0	0.00	0.00		
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00		
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00		
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(i)	Others	0	0	0	0.00	0.00		
	Sub-Total B(1) :	0	0	0	0.00	0.00		



Tejraj Abhy

CATEGORY CODE	CATEGORY OF SHAREHOLDER	NO OF SHAREHOLDERS	TOTAL NUMBER OF SHARES	NO OF SHARES HELD IN DEMATERIALIZED FORM	TOTAL SHAREHOLDING AS A % OF TOTAL NO OF SHARES			SHARES PLEDGE OR OTHERWISE ENCUMBERED	
					(IV)	(V)	AS a PERCENTAGE of (A+B)	AS a PERCENTAGE of (A+B+C)	NUMBER OF SHARES
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)=(viii)/(iv)*100	
(2)	NON-INSTITUTIONS								
(a)	Bodies Corporate	34	5657	1495		0.37		0.37	
(b)	Individuals								
	(i) Individuals holding nominal share capital upto Rs.1 lakh	6657	373956	38366		24.70		24.70	
	(ii) Individuals holding nominal share capital in excess of Rs.1 lakh	0	0	0		0.00		0.00	
(c)	Others								
	NON RESIDENT INDIANS	1	192	192		0.01		0.01	
	CLEARING MEMBERS	1	300	300		0.02		0.02	
(d)	Qualified Foreign Investor	0	0	0		0.00		0.00	
	Sub-Total B(2) :	6693	380105	40353		25.11		25.11	
	Total B=B(1)+B(2) :	6693	380105	40353		25.11		25.11	
	Total (A+B) :	6700	1513694	1173942		100.00		100.00	
(C)	Shares held by custodians, against which Depository Receipts have been issued								
(1)	Promoter and Promoter Group								
(2)	Public	0	0	0		0.00		0.00	
	GRAND TOTAL (A+B+C) :	6700	1513694	1173942		100.00		0.00	0.00



Tejraj B

(i)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"											
Sr.No	Name of the Shareholder	Details of Shares held		Encumbered shares (*)			Details of Warrants		Details of convertible securities		Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No. of Shares held (III)	As a % of grand total (A)+(B)+(C) (IV)	Pledge Shares (V)	AS a percentage (VI)=(V)/(III)*100	AS a % of grand total (A) + (B) + (C) of sub-clause (I)(a) (VII)	Number of warrants held (VIII)	As a % total number of warrants of the same class (IX)	Number of convertible securities held (X)	As a % total number of convertible securities of the same class (XI)	
(I)	(II)									(XII)	
1	TEJASWY NANDURY	499440	32.99	0	0.00	0.00	0	0.00	0	0.00	32.99
2	SOBHARANI NANDURI	467416	30.88	0	0.00	0.00	0	0.00	0	0.00	30.88
3	SOVEN MANAGEMENT ASSOCIATES PVT LTD	51144	3.38	0	0.00	0.00	0	0.00	0	0.00	3.38
4	NANDURY FINANCE AND INVESTMENTS PVT LTD	47880	3.16	0	0.00	0.00	0	0.00	0	0.00	3.16
5	ALCHEMIST HR SERVICES PVT LTD	40320	2.66	0	0.00	0.00	0	0.00	0	0.00	2.66
6	VENNELA NANDURY	18102	1.20	0	0.00	0.00	0	0.00	0	0.00	1.20
7	HIFCO CONSUMER CREDIT LIMITED	9287	0.61	0	0.00	0.00	0	0.00	0	0.00	0.61
	TOTAL :	1133589	74.89	0	0.00	0.00	0	0.00	0	0.00	74.89



Tejashwini

Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares									
Sl.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grant total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital)	
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class		
TOTAL :		0	0	0	0	0	0	0	0



Tejesh

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company									
Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with	Number of shares held	Shares as a percentage of total number of shares (i.e., Grant total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital)	
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class		
TOTAL :			0	0	0	0	0	0	0



Tejraj Reddy

STATEMENT SHOWING DETAILS OF LOCKED-IN SHARES"				
(I)(d)				
Sr.No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a (%) percentage of total number of shares {i.e, Grand Total (A)+(B)+(C) Indicated in Statement at para (I)(a) above}	Promoter/Promoter Group/Public
	TOTAL :	0	0	



Deepak

STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS (DRS)				
(iii)(a)				
Sr.No.	Type of outstanding DR (ADRs,GDRs,SDRs,etc.)	Number of outstanding DRS	Number of shares underlying outstanding DRS	Shares underlying outstanding DRS as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at Para(I)(a) above}
	TOTAL :	0	0	0



Tejraj

Statement showing Holding of Depository Receipts (DRs), where underlying shares held by "Promoter/Promoter group" are in excess of 1% of the total number shares.					
(II)(b)					
Sr.No.	Name of the DR Holder	Type of outstanding DR (ADRs,GDRs,SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para(I)(a) above}	
	TOTAL :		0		0



Tirupathi